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Local flow geometry of a vortex and associated physical quantities

Katsuyuki Nakayama

Department of Mechanical Engineering, Aichi Institute of Technology, Toyota, Aichi, Japan

Introduction I.1 Vortices in flow



- 1. Vortices play important roles in turbulent flows (https://www.nrel.gov) and influences performance or soundness of facility, system or machinery in various engineering field, such as power plants, wind turbines, or aviation.
- 2. Identification of a vortex with its intensity is important, and clarifying the feature and mechanism of the vortex is necessary.
- 3. No universal definition has been established, and existence of vortices depends on an applied definition.



1.2 On vortex definition

(Garth et al., 2005)

- 1. <u>Stream line is not appropriate</u> because it is not Galilei invariant (depending on the inertial coordinate system).
- 2. <u>Vorticity is difficult to distinguish the geometries</u> (topologies) between shear and vortical (swirling) flows.
- 3. In many vortex definitions proposed, <u>popular definitions</u> frequently used are on local approach specified by the velocity gradient tensor ∇v such as the local flow geometry (topology) or pressure minimum feature*.
- 4. the complex eigenvalues of ∇v that specify the invariant vortical flow have greatly contributed in the vortex definitions (e.g., Δ definition) and classification of flows.

(*: Chong et al., Phy. Fluids, 1990, Hunt, STR-88, 1988, Jeong et al., J. Fluid. Mech., 1995)

1.3 Questions on topology of a vortex

It has been desired to clarify the following questions:

- 1. Behind frequent application of the eigenvalues of ∇v , what is the clear interpretation of the eigenvalues of ∇v ? (Is the classification correct?)
- 2. No identification of flow symmetry*?
- 3. How are the pressure minimum in the vortex definitions and vortex stretching related to the local flow topology?
- 4. What is the universal definition of a vortex or vortical axis? These items are now being clarified with a new aspect...

2. Local flow topology and quantities 2.1 flow specified by ∇v

The local flow around a point can be expressed as:

> Taylor expansion of velocity neglecting higher order:

 $\frac{d}{dt}x_i = \frac{\partial v_i}{\partial x_i}x_j$ (summation convention is applied)

 \succ Eigenvalues ε_i and eigenvectors ξ_i (*i*=1,2,3) of ∇v specify the local flow geometry in terms of the Galilei invariant.



a linear combination of flows along ξ_i (*i*=1,2,3), in the directions and with intensities according to ε_i .



2.2 Invariant vortical flow geometry

- If ∇ν has complex conjugate and real eigenvalues, ε_R± i ψ and ε_a, and their respective eigenvectors ξ_{pl}± i η_{pl} and ζ,
 Flow trajectory*: x = 2exp(ε_Rt) {cos(ψt)ξ_{pl} sin(ψt)η_{pl}} + exp(ε_at)ζ
- → Vortical flow





2.3 Questions may arise...

This flow classification has been applied in several turbulent flows and in the major vortex definitions since around 1990.
 However.... x = 2exp(ε_Rt){cos(ψt)ξ_{pl} - sin(ψt)η_{pl}} + exp(ε_at)ζ

Does it <u>swirl uniformly with constant</u> ψ around a point? Does it <u>converge (inflow) or diverge</u> (outflow) uniformly around a point?

What is the physical interpretation of the eigenvalues? How is the flow symmetry?



(Fig. 2.1: vortical flow topology $(\varepsilon_R < 0)$)

3. Exploring the local flow in a plane

We study further detail of the topology in a plane.
local velocity:

ν'_i = (∂ν_i/∂x_j) x_j (i, j=1, 2)

decompose the flow into

(i) azimuthal flow v_θ
(ii) radial flow v_r, such as:

 $v' = v_r e_r + v_\theta e_\theta$ e_r, e_θ : unit vectors of radial and azimuthal directions



3.1 Azimuthal flow

 $\circ v_{\theta}$: expressed as a specific quadratic form

$$v_{\theta} = \frac{1}{|x'|} x' Q_{\theta} x' \qquad x' = (x_1, x_2)$$
$$\nabla v = \begin{pmatrix} a_{21} & -(a_{11} - a_{22})/2 \\ -(a_{11} - a_{22})/2 & -a_{12} \end{pmatrix}$$



Q_θ: unitary matrix having two real eigenvalues λ_{θi} and their orthogonal eigenvectors ζ_{θi} (i=1, 2).
the feature of v_θ: λ_{θi} specify

3.2 swirlity

• if λθ₁ and λθ₂ have the same sign
 > νθ has the same direction around a point
 > swirling flow

Define swirlity $\phi *1$ that represents the unidirectionality and intensity of $v_{\theta} (\lambda \theta_i)$ in terms of the geometrical average. $\phi := \operatorname{sgn}(\lambda \theta_1 \lambda \theta_2) |\lambda \theta_1 \lambda \theta_2|^{1/2}$



Fig. 3.1: local flow and decomposed v_{θ} in flow transition into a vortex. $0 < \phi$ in (a) and (b), and $0 < \phi$ in (c), i.e., vortical flow.

(*1: Nakayama, *Fluid Dyn. Res.*, 2014, *2: Nakayama, *ICTAM2016*)

 ϕ is defined in vortical/non-vortical flow. \Rightarrow applicable to prediction of a vortex *2

<Question>

What is the physical interpretation of complex eigenvalues (imaginary part ψ) of ∇ν?
how to relate ψ (eigenvalues of 3-dim ∇ν) to flow topology in a plane...

$$\boldsymbol{x} = 2\exp(\varepsilon_R t) \{\cos(\psi t)\boldsymbol{\xi}_{pl} - \sin(\psi t)\boldsymbol{\eta}_{pl}\} + \exp(\varepsilon_a t)\boldsymbol{\zeta}$$

$$\nabla \mathbf{v} = \begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{pmatrix}$$

3.3 Relationships between three dimensional eigenvalues and swirlity

 \bigcirc 3-dim ∇v has at least one real eigenvalue ε_a and real eigenvector ζ_a . \bigcirc We define a coordinate system (x_i) where the x_1 - x_2 plane with two orthonormal bases is an arbitrary plane linear independent of (nonparallel to) ζ (set as x_3 axis): • ∇v in this coordinate system: $\begin{bmatrix} a_{11} & a_{12} & 0 \\ a_{11} & a_{12} & 0 \\ a_{31} & a_{32} & \varepsilon_a \end{bmatrix}$ $\nabla v =$



3.4 Invariance of swirlity

In this coordinate system, it is mathematically proven that • $0 < |\lambda_{\theta_1} \lambda_{\theta_2}|$ i.e., $0 < \phi$ (topological condition) • ∇v has complex eigenvalues* (algebraic condition) are equivalent. ϕ is expressed as; $\psi = \phi$ and $\phi = Q - 3\varepsilon_a^2$ $\succ \psi$ in complex eigenvalues of ∇v : geometrical average of v_{θ} . swirlity is invariant independent of the



Note: ϕ is defined in vortical/non-vortical flow.

* $\Delta = (Q/3)^3 + (R/2)^2 > 0$ (Q, R: the 2nd and 3rd invariants of ∇v) Δ definition(Chong et al., *Phy. Fluids*, 1990)

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arbitrary plane (non parallel to ζ axis). (Nakayama, Fluid Dyn. Res., 2014)

3.5 radial flow v_r

 \bigcirc similar to v_{θ} , v_r is expressed as a specific quadratic form:

$$v_{r} = \frac{1}{|x'|} {}^{t}x' Q_{r}x'$$
$$\nabla v = \begin{pmatrix} a_{11} & (a_{21} + a_{12})/2 \\ (a_{21} + a_{12})/2 & a_{22} \end{pmatrix}$$



> Q_r : unitary matrix having two real eigenvalues λ_{r_i} and their orthogonal eigenvectors ξ_{r_i} (*i*=1, 2). Fig. 3.2: decomposed v_r where (left) $0 < \sigma$ ($\lambda r_i < 0$) and (right) $\sigma < 0$ (with same complex eigenvalues of ∇v).

3.6 sourcity

 \bigcirc if λ_{r_1} and λ_{r_2} have the same sign $(0 < |Q_r|, Q_r: unitary)$ $> v_r$ has the same direction around a point complete inflow from all directions $\succ \lambda r_1, \lambda r_2 < 0$

Define sourcity $\sigma *1$ that represents the unidirectionality and intensity of v_r (λr_i) in terms of the geometrical average $\sigma := \operatorname{sgn}(\lambda_{r_1} \lambda_{r_2}) |\lambda_{r_1} \lambda_{r_2}|^{1/2}$



(right) $\sigma < 0$ (with the same complex eigenvalues of ∇v).)

3.7 another invariant of ∇v

If $\nabla v (=A)$ has conjugate complex eigenvalues $(\varepsilon_R \pm i\psi)$, • Eigenequation for the complex eigenvalues: $A(\xi_{pl} \pm i\eta_{pl}) = (\varepsilon_R \pm i\psi) (\xi_{pl} \pm i\eta_{pl})$ $(A = [a_{ij}] = [\partial v_i / \partial x_j])$

> differently from the real eigenvector, ξ_{pl} and η_{pl} are restricted in terms of the ratio of their norms (lengths).
> c = |ξ_{pl}| / |η_{pl}|
> c is an invariant quantity.

> note that ξ_{pl} and η_{pl} can be set as $\xi_{pl} \perp \eta_{pl}$.

3.8 symmetry quantity of vortical flow

c has not been considered in the topological analysis.
 the topology depends on *c*, and *c* represents the flow symmetry.



3.9 vortex space

• We define "vortex space" V where the orthonormal bases are parallel to: $\xi_{pl}, \eta_{pl} \ (\xi_{pl} \perp \eta_{pl}), \xi_{pl} \times \eta_{pl}$ $\bigcirc \nabla v$ in the coordinate system of V:



(Fig. 2.1: vortical flow topology ($\varepsilon_R < 0$))

density (Zhang et al., Phy. Fluids, 2006)

The vortex space facilitates to investigate: detail topology > physical features such as pressure minimum or vortex stretching 18

3.10 Flow feature in swirl plane and physical quantities

characteristics of v_r and v_{θ} in \mathcal{P} : $\lambda r_1, \lambda r_2 = \varepsilon_R \pm |c-1/c|\phi/2$ $\lambda \theta_1, \lambda \theta_2 = -c\phi, -\phi/c$ > swirlity and sourcity $\phi = \psi = |\lambda \theta_1 \lambda \theta_2|^{1/2}$ $\sigma = \operatorname{sgn}(\alpha) |\alpha|^{1/2}$ $\alpha = \varepsilon_R^2 - (c-1/c)^2 \phi^2/4$ (a)



Fig. 3.4: flow geometry in \mathcal{P} with same complex eigenvalues (ε_R , ψ) = (-1, 2) but different *c* where (a) *c*=0.8 (σ = 0.9), and (b) *c*=2.5 (σ = -1.9). 19

(Nakayama, Fluid Dyn. Res., 2014)

<Question>

• What is the physical interpretation of complex eigenvalues (real part ε_R) of $\nabla \nu$?

 $\boldsymbol{x} = 2\exp(\varepsilon_R t) \{\cos(\psi t)\boldsymbol{\xi}_{pl} - \sin(\psi t)\boldsymbol{\eta}_{pl}\} + \exp(\varepsilon_a t)\boldsymbol{\xi}$

$$\nabla \mathbf{v} = \begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{pmatrix}$$

3.11 Insufficiency of ε_R as classifying inflow/outflow vortices

 $\nabla v = \begin{pmatrix} \varepsilon_R & c\psi & \omega_2 \\ -\psi/c & \varepsilon_R & -\omega_1 \\ 0 & 0 & \varepsilon_a \end{pmatrix}$ $\lambda r_1, \lambda r_2 = \varepsilon_R \pm |c - 1/c|\psi/2$ Radial flow in \mathcal{P} (in vortical flow): $\frac{\lambda r_1 + \lambda r_2}{2} = \varepsilon_R \quad \text{(invariant)}$

 $V_{r}e_{r} = \sum_{r}^{r} V_{r}e_{r} = \sum_{r}^{$

 ε_R is <u>difficult to distinguish</u> complete inflow(outflow) or mixed flow with both inflow and outflow.

Fig. 3.5: radial flow with same complex eigenvalues (ε_R , ψ) = (-1, 2) but different *c* where (a) *c*=0.8 (σ = 0.9), and (b) *c*=2.5 (σ = -1.9). (same as Fig. 3.2) (Nakayama, *Fluid Dyn. Res.*, 2014)²¹

3.12 example of inflow vortices classified by ε_R (<0)

- > An example in an isotropic homogeneous turbulence:
- about 85% of inflow vortices classified by *ε_R* < 0 are vortices with mixed inflow and outflow.
 This fact gives an important feature in the effect of vortex stretching.



Fig.3.6 JPDF (Joint Probability Density Function) of σ and ϕ in terms of $\varepsilon_R < 0$ (average-inflow vortices).

3.13 Relationships between swirlity and vortical flow symmetry

(vortices in an isotropic homogeneous turbulence)
 <u>\$\phi\$ and \$c\$ have high correlation</u>.
 flow symmetry is important for

development of a vortex.





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(ref. e.g., Nakayama, Phy. Rev. Fluids, 2017)

3.14 animation of a vortex

(a vortex in an isotropic homogeneous turbulence)







Fig. 3.9 : animation of a vortex with contours of ϕ and c.

When c increases, a new contour of ϕ (intense ϕ region) appears.

4. Physical feature of a vortex

Important physical features of vortex associated with the topology:
Pressure minimum
vortex stretching

Vortex space facilitates the analysis of these features.

4.1 Pressure minimum by topology

We focus on the Hesse matrix H = [h_{ij}] = [-p,_{ij}/ρ] of the pressure by differentiating the Navier-Stokes equation,
 discarding unsteady strain and viscous terms, to estimate the pressure minimum derived from the vortical motion^{*1}.

$$H = (AA + {}^{t}A A)/2 \quad (A = \nabla v)^{*2}$$

 \square the pressure min. by vortical flow in \mathcal{P} should be estimated.





4.3 on universal definition



- The topology in *P* and resulting pressure minimum is related.
 The vortex definition with this criteria unifies (satisfies) the major vortex definitions (Nakayama et al., *Fluid Dyn. Res.*, 2014):
 - 1. △ definition (Chong et al., *Phy. Fluids*, 1990):
 ∇ v has complex eigenvalues
 - 2. *Q* definition (Hunt et al., CTR-S88 1988):
 vorticity exceeds rate of strain
 3-dim pressure Laplacian
 - 3. λ₂ definition (Jeong et al., *J. Fluid. Mech.*, 1995):
 existence of pressure min. plane by vortical flow

approaching the universal definition of a vortex...

4.4 vortex stretching

 strengthening the vorticity with strain (compression/tension)
 strain: the rate of strain tensor (its eigenvalues (eigenvectors)) s_{ij} = (∂v_i/∂x_j + ∂v_j/∂x_i)/2
 vortex stretching rate δ, i.e., the rate of generation of enstrophy |ω|² is expressed as (Jimenez, J. Fluid. Mech., 1993):
 δ = ω_i s_{ij} ω_j / |ω|² = ω_i s_{ij} ω_j / (ω_i ω_i)

However, eigenvectors of s_{ij} are not identical to the swirl plane

4.5 formulation of vortex stretching

In the vortex space, rotating the bases in *P* in accordance with ζr_i (eigenvector of λr_i) (rotating π/4) gives :

 $\delta = \{ \lambda_{r_1} \underline{\omega}_1^2 + \lambda_{r_2} \underline{\omega}_2^2 + \lambda_{r_3} \omega_3^2 \} / (\omega_i \omega_i)$ $\underline{\omega}_1 = (\omega_1 - \omega_2) / \sqrt{2}, \ \underline{\omega}_2 = (\omega_1 + \omega_2) / \sqrt{2}$ $(\lambda_{r_3} = \varepsilon_a, \ \omega_3 = -(c+1/c)\phi)$



analyse vortex stretching by <u>decomposition of vorticity</u> <u>components parallel and normal to P</u>.

■ $0 < \sigma$: increases effectively both swirl and axis orthogonality ■ $\sigma < 0$: increase swirl but decreases the orthogonality > λr_i and σ specify the characteristic of the stretching

4.6 effect of vortex stretching

- inflow in all directions effectively strengthens swirl (ω₃), and increases orthogonality of a vortical axis.
- This characteristic is specified by sourcity.

 Vorticity in the vortex stretching can be characterized by <u>decomposition of</u> <u>vorticity in terms of components</u> <u>parallel and normal to *P*.
</u>



Fig. 4.2: each term of the vortex stretching in average-inflow vortices ($\varepsilon_R < 0$), non-dimensionalized by the root mean square value of the vorticity in an isotropic homogeneous turbulence. 31

(ref.: Nakayama, Phy. Rev. Fluids, 2017)

4.7 characteristic of vortex stretching

$$\delta = \lambda_{r_1} \underline{\omega}_1^2 + \lambda_{r_2} \underline{\omega}_2^2 + \lambda_{r_3} \omega_3^2$$

A life of a vortex in an isotropic homogeneous turbulence.



Fig. 4.3 : A life of an average inflow vortex ($\varepsilon_R < 0$) in an isotropic homogeneous turbulence (generation \rightarrow development \rightarrow decay).

□ $0 < \sigma$: increases effectively both swirl and axis orthogonality □ $\sigma < 0$: increase swirl but decreases the orthogonality > λr_i and σ specify the characteristic of the stretching



5.2 Eigen-vortical-axis Line

ζ indicates an axis direction in terms of the invariant local topology, and can be defined in vortical region V where 0 < φ. (∇v has complex eigenvalues)
 Define a vortical axis along ζ
 Eigen-vortical-axis Line α(x) (α = [α_i] (i=1,2,3)) in V such that

$$\frac{d\alpha_1}{\zeta_1} = \frac{d\alpha_2}{\zeta_2} = \frac{d\alpha_3}{\zeta_3}$$





(5.3 Relationship between eigen-vortical-axis line and vorticity line) <Question> (maybe later)

What is the relationships between vorticity vector and eigen-vortical-axis?

The relationships between ζ and ω can be formulated, relating to the topology, but they are not simple.

5.4 Analysis of eigen-vortical-axis/vorticitiy lines in isotropic homogeneous decaying turbulence

Pseudo Spectral Method with phase-shift method



Fig.5.1: Vortical regions (contour of $\phi = 2$) and eigen-vortical-axis line (bold line)/ vorticity line (narrow line) in a sub-domain $(133\eta \times 133\eta \times 43\eta)$. (ϕ_a : ϕ in axes)

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5.5 feature of a traced axis –consistency with vortical core region



Fig. 5.2: Zoomed vortical regions (ϕ =2) and vortical axes traced by a eigen-vortical-axis line (bold line) and a vorticity line (narrow line), where the color in the axes shows (a) ϕ (ϕ_a) and (b) c (c_a) in the axes.

5.6 another example of traced axes

• eigen-vortical-axis line (EVAL) passes the core region.



EVAL follows the core region of a vortex. A vorticity line that passes point A deviates the core region of a vortex with low swirlity.

Fig. 5.3: Vortical regions (contours where $\phi = 2$), and an eigenvortical-axis line (bold line) and two vorticity lines (narrow lines) in a sub-domain (Kolmogorov length $\eta = 0.012$).

5.7 pressure minimum feature of a traced axis

 eigen-vortical-axis line has also pressute minimum, while a vorticity line does not have this feature.





Fig. 5.4: Vortical axes traced by eigen-vortical-axis line (bold line) and vorticity line (narrow line). 39

5.8 Bundle features of eigen-vortical-axis/vorticitiy lines in isotropic homogeneous turbulence

eigen-vortical-axis line tends to concentrate and have intense swirlity in the core region of vortices.



6. Conclusion



- 1. Eigenvalues of the velocity gradient tensor are insufficient
 - to specify the detail flow topology
 - to relate the topology to physical characteristics of a vortex
- 2. Pressure minimum in the swirl plane and vortex stretching are specified by the detail topological quantities.
- 3. Vorticity should be decomposed into components parallel and normal to the swirl plane for specifying the vortex stretching.

(6. Conclusion)

- 4. A vortical axis with intense swirling might be along the local flow axis.
- 5. Vorticity and rate of strain tensor are important quantities, however, the behavior of present topological quantities contributes the vorticity.
- 6. The present topological approach enables us to watch flow with a new sight, i.e., detail flow characteristics.